FROM : COVELLO

PHONE NO. : 8602330872

Oct. 09 2003 10:07AM P1

FAX		·····	Date	10/9/03		
			Number of page	•	cover sheet	16
TO:	Commissioner fo	or Patents	FROM:	Diane F	. Covello	HC
RE:	Alexandria, VA U.S. Application 09/473,662 filed				C	RECEIVEI ENTRAL FAX CE
	097473,002 mea	1223/33				OCT 0 9 20
Phone			Phone 860-233 Fax 860-233			
Fax Phone	703-305-7687		Fax	000-23	3-0072	
REMARKS:	☐ Urgent	For your review	☐ Reply i	ASAP [Please Co	omment
See attached		<u></u> , ,		_		
					,	
·						
						4

FROM: COVELLO PHONE NO.: 8602330872

Oct. 09 2003 10:08AM P2

Lingst the Pasamont Issuation Act of 1956, no persons an equired to reason to a collection of information unless it displays a vaid OMS control numb TRANSMITTAL FORM		u.s. e	PTO/SB21 (08-03) Approved for use th:ough 08/30/2003, OMB 0651-0031 Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE							
TRANSMITTAL FORM The be used for all correspondence after initial filing) Total Number of Pages in This Submission Total Number of Pages in This Submissi	United the Paperwork Reduction Act of 1995	Application Number								
FORM (to be used for all correspondence after initial filting) Total Number of Pages in This Submission Total N	TRANSMITTAL	Filino Date								
Art Unit 3525 Examiner Name Rosen, N. Total Number of Pages in This Submission 15 Attorney Docket Number Risen-01-C1										
Examiner Name Rosen. N. Total Number of Pages in This Submission 15 Altomay Docket Number Resen-01-C1		4.77								
Total Number of Pages in This Submission 15 Attorney Docket Number Rison-01-C1	(10 DB UZBOTOF SH CONTESPONDENCE SHEF LIVILE)	raing)	3625							
ENCLOSURES (Check all that apply) Fee Transmittal Form no fee Drawing(s) After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to Technology Center (TC) Amendment/Repty Petition Petition to Convert to a Provisional Application Proprietary Information to TC (Appeal Males, Brief, Rept) Brief) Proprietary Information Status Letter View Enclosure(s) (please Identify below): Extension of Time Request Request for Refund CD, Number of CD(s) Remarks Replacement aheets of pending claims and marked-up version of claims are attached. Response to Missing Parts Incomplete Application Proprietary Information Pro			Rosen, N.							
Fee Attached Fee Attached Fee Attached Anendment/Repty Anter final After Final After Final After Final After Final After Allowance communication to 15 echnology Center (TC) Amendment/Repty Anter Final After Final After Final After Allowance communication to 15 echnology Center (TC) Petition to Convert to a Provisional Application Provisional Application Change of Correspondence Address Extension of Time Request Express Abandonment Request Information Disclosure Statement Convert of Atternation Convert of Atternation Response to Missing Parts/ Incomplete Application Response to Missing Parts/ Incomplete Application Response to Missing Parts/ Incomplete Application Billing Parts/ Incomplete Application Response to Missing Parts/ Incomplete Application Response to Missing Parts/ Incomplete Application Response to Missing Parts/ Incomplete Application Convert of CD(s) SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Diane F. Covelo, Reg. No. 34.164 W. Hartford, CT 08119 Signature Diane F. Covelo, Reg. No. 34.164 W. Hartford, CT 08119 Signature Diane F. Covelo October 9, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alaxandria, VA 22313-1450 on the date shown below Typed or printed name Diane F. Covelio	Total Number of Pages in This Submission	15 Attorney Docket Number	Risen-01-C1							
Fee Attached Frowsitoral Application Fower of Attorney, Revocation Charge of Correspondence Address Framparis Fermants Fermants Fee Attached Fee Att	ENCLOSURES (Check all that apply)									
Firm of Individual name Diane F. Covello, Reg. No. 34,164 125 Walbridge Rd. W. Hartford, CT 06119 Date October 9, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alaxandria, VA 22313-1450 on the date shown below Typed or printed name Diane F. Covello	Fee Attached Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts	Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):							
or Individual name 125 Walbridge Rd. W. Hartford, CT 08119 Signature Date October 9, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alaxandria, VA 22313-1450 on the date shown below Typed or printed name Diane F. Covello		TURE OF APPLICANT, ATTOR	RNEY, OR AGENT							
Date October 9, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alaxandria, VA 22313-1450 on the date shown below Typed or printed name Diane F. Covello	or 125 Walbridge Rd. Individual name W. Hartford, CT 08119	125 Walbridge Rd.								
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Typed or printed name Diane F. Covello	Nuang t.	Duang F. Covello								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service With sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Typed or printed name Diane F. Covello	Date									
Typed or printed name Dlane F. Covello Sumicert postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Dlane F. Covello	C	ERTIFICATE OF TRANSMISSI	ON/MAILING							
Diane F. Covello	Sumcient postage as first class mail in an en-	eing facsimile transmitted to the USPTC relope addressed to: Commissioner for	or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on							
Signature Diane 7. Corrello Date October 9. 2003										
7	Signature Diane	7. Covello	Date October 9, 2003							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FROM: COVELLO PHONE NO.: 8602330872

Risen-01-C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

13/D PD 1001/03

Oct. 09 2003 10:08AM P3

In re patent application of William Risen et al.

Serial No. 09/473,662

Examiner: Rosen, N.

Filing Date: 12/29/99

Group Art Unit: 3625

For: Method of Protecting Against a Change in Value of Intellectual Property, and

Product Providing Such Protection

RECEIVED CENTRAL FAX CENTER.

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

OCT 0 9 2003

BOX Non-Fee Amendment

Sir:

AMENDMENT

In response to the Office Action dated July 9, 2003, please amend the abovereferenced application as follows:

IN THE CLAIMS:

Please cancel claims 2 and 22 without prejudice.

Please amend claims 1, 4 and 7 by substituting the attached sheets of pending claims for the prior pending claims. A marked up version of the claims is included in Appendix 1.

OFFICIAL